

## **AGENDA**

### **AUDIT & COMPLIANCE COMMITTEE THURSDAY, MARCH 19, 2015- 2:30 P.M.**

- I. Roll Call.
- II. Election of Chair. <sup>A</sup>
- III. Minutes of Previous Meeting (12/04/14). <sup>A \*</sup>
- IV. Report of the A&CC Chair.
- V. Staff Personal Transaction Reports (12/31/14).
- VI. Commission Recapture Report (12/31/14). <sup>A \*</sup>
- VII. Record of Vouchers (October, November, December 2014). <sup>A \*</sup>
- VIII. Ethics Matters:
  - A. Placement Agent Contact Report (4Q14). <sup>A \*</sup>
  - B. Fiduciary Training Program Review. <sup>\*</sup>
  - C. Appointed Member Time Sheets.
- IX. Unfinished Business.
- X. New Business.
- XI. Next Meeting (06/19/15) and Adjournment.

A Action Required  
\* Attachment  
E Executive Session